

#4



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re the application of:

Jeffrey A. Colborn

Application No.: 10/085,477

Filed: February 26, 2002

For: **METHOD OF AND SYSTEM FOR
COOLING A BACKUP POWER
SYSTEM**

Art Unit: 2838

Examiner: Unassigned

TRANSMITTAL OF MISSING PARTS

ATTN: Box Missing Parts

Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the **Notice to File Missing Parts of Non-Provisional Application** mailed March 18, 2002, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:


- ☒ Copy of the Notice to File Missing Parts;
- ☒ Original Combined Declaration and Power of Attorney executed by the inventor;
- ☒ Copy of Filing Receipt with corrections to be made; and
- ☒ Return postcard.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

April 22, 2002
Date of Deposit

Diana Vilkaitis
Name of Person Mailing Paper


Signature of Person Mailing Paper

Applicant hereby claims small entity status.

The fees due are calculated as follows:

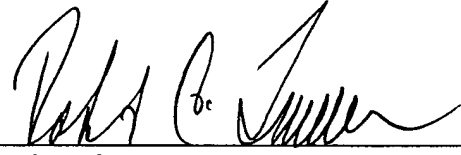
Filing Fee for Patent Application (37 C.F.R. § 1.16) for Small Entity	\$370.00
Fee for excess claims over 20 (37 C.F.R. § 1.16)	90.00
Fee for excess Independent Claims	42.00
Surcharge for Multiple Dependent Claims	0.00
Surcharge for late filing of Declaration (37 C.F.R. § 1.16)	65.00
Extension of time fees under 37 C.F.R. § 1.13	0.00
TOTAL FEES SUBMITTED HEREWITH	\$567.00

The U.S. Patent and Trademark Office is hereby authorized to charge \$567.00 to our Deposit Account No. **08-3038**, referencing Docket No. 04813.0031.NPUS00. A duplicate copy of this transmittal is enclosed.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. **08-3038**.

If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. **08-3038**.

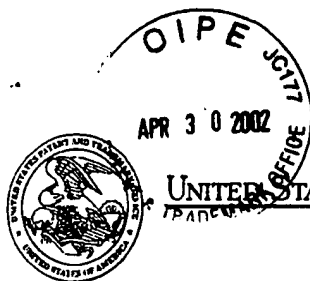
Respectfully submitted,



Robert C. Laurenson, Reg. No. 34,206

Date: April 18, 2002

HOWREY SIMON ARNOLD & WHITE, LLP
301 Ravenswood Avenue, Box No. 34
Menlo Park, CA 94025
FAX No. (650) 463-8400
Telephone No. (949) 759-5269



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/085,477	02/26/2002	2838	0.00	04813.0031.NPUS00	3	30	4

CONFIRMATION NO. 4887

27240
HOWREY SIMON ARNOLD & WHILE LLP - SD
301 RAVENSWOOD AVENUE
MENLO PARK, CA 94025

RECEIVED

APR 01 2002

Howrey - SV

FILING RECEIPT



OC000000007708249

Date Mailed: 03/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Carcliff - by the Sea, California
Jeffrey A. Colborn, ~~Residence Not Provided;~~

D mestic Priority data as claimed by applicant

~~THIS APPLN CLAIMS BENEFIT OF 60/348,685 09/10/2001~~
~~AND CLAIMS BENEFIT OF 60/328,838 10/11/2001~~

F reign Applications

If Required, Foreign Filing License Granted 03/25/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

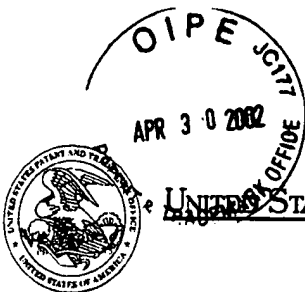
Title

Method of and system for cooling a backup power system

Preliminary Class

323

DOCKETED *PIA/E* 4/4/2
165m



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/085,477	02/26/2002	Jeffrey A. Colborn	04813.0031.NPUS00

CONFIRMATION NO. 4887

27240
HOWREY SIMON ARNOLD & WHILE LLP - SD
301 RAVENSWOOD AVENUE
MENLO PARK, CA 94025

FORMALITIES LETTER



OC000000007708250

Date Mailed: 03/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$264.
 - \$180 for 10 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1134.**

*A copy of this notice **MUST** be returned with the reply.*

Hainanot Tegbaru
Customer Service Center

Patent Examination Division (303) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

370.00 CH
42.00 CH
90.00 CH
65. CH

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